FORM D

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

MAY 1 0 2007

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR

UNIFORM LIMITED OFFERING EXEMPTION

SEC USE ONLY
Prefix Serial
DATE RECEIVED

Estimated average burden

hours per response . . . 16.00

OMB Number:

Expires:

3235-0076

April 30, 2008

Name of Offering (Check if this is an amendment and name has changed, and indicate cha	inge.)
SERIES B CONVERTIBLE PARTICIPATING PREFERRED STOCK FINANCIA	
Filing Under (Check box(es) that apply): Rule 504 Rule 505 Rule 506 Se	ction 4(6) ULOE
Type of Filing: New Filing Amendment	07054599
A. BASIC IDENTIFICATION DATA	
1. Enter the information requested about the issuer	
Name of Issuer (check if this is an amendment and name has changed, and indicate changed)	ge.)
Duck Creek Technologies, Inc.	
Address of Executive Offices: (Number and Street, City, State, Zip Code) 1807 Jones Street, Bolivar, Missouri, 65613	Telephone Number (Including Area Code) 417-777-6970
Address of Principal Business Operations: (Number and Street, City, State, Zip Code) (if different from Executive Offices)	Telephone Number (Including Area Code)
Brief Description of Business: Insurance software and services	
Type of Business Organization	(please specify): public limited company
Actual or Estimated Date of Incorporation or Organization: Month Year	

GENERAL INSTRUCTIONS

THOMSON FINANCIAL

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

A. BASIC IDENTIFICATION DAT	ГА
 2. Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five ye Each beneficial owner having the power to vote or dispose, or direct the vote of securities of the issuer; Each executive officer and director of corporate issuers and of corporate genera Each general and managing partner of partnership issuers. 	or disposition of, 10% or more of a class of equity l and managing partners of partnership issuers; and
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive ©	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) Roller, William D.	
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Duck Creek Technologies, Inc., 1807 Jones Street, Bolivar, Misso	ouri, 65613
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) Roller, John M.	
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Duck Creek Technologies, Inc., 1807 Jones Street, Bolivar, Misso	ouri, 65613
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) Yohn, Andrew K.	
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Duck Creek Technologies, Inc., 1807 Jones Street, Bolivar, Misso	ouri, 65613
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) Clark, Brian L.	
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Duck Creek Technologies, Inc., 1807 Jones Street, Bolivar, Misso	puri, 65613
Check Box(es) that Apply: Promoter Beneficial Owner Executive	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) Wilson, G. Larry	
Business or Residence Address (Number and Street, City, State, Zip Code) 1901 Assembly Street, 3 rd Floor, Suite 390, Columbia, South Carolina	a 29201
Check Box(es) that Apply: Promoter Beneficial Owner Executive	Officer Director General and/or Managing Partner
Full Name (Last name first, if individual) Lennihan, Jr., Lawrence D.	
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Pequot Ventures, 153 East 53 rd Street, 35 th Floor, New York, NY	10022
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive	
Full Name (Last name first, if individual) Pequot Private Equity Fund III, L.P.	
Business or Residence Address (Number and Street, City, State, Zip Code) c/o Pequot Capital Management, Inc., 500 Nyala Farm Road, Westpe	ort, Connecticut 06880

-	ŀ	A. BASIC IDENTIFICA	TION DATA (Cont'd)		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, Pequot Offshore	•	Partners III, L.P.			
Business or Residence Addr c/o Pequot Capit	•	and Street, City, State, 2 t, Inc., 500 Nyala Farm	•	necticut 06880	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, Corman, Wendy					
Business or Residence Addr	•	and Street, City, State, 2 nc., 1051 Farmington A	·	6032	
Check Box(es) that Apply:	Promoter	Beneficial Owner	· 🛭 Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, Fogle, Lee	if individual)				
Business or Residence Addr	•	and Street, City, State, 2 nc., #7 Science Court S	• '	C 29203	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, Hall, Steven	if individual)				
Business or Residence Addr		and Street, City, State, 2 nc., #7 Science Court S	· ·	C 29203	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, Tweedy, Kerrick				·	
Business or Residence Addr	ess (Number	and Street, City, State, 2	•	613	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, DeRossett, Brent	•				
Business or Residence Addr	ess (Number	and Street, City, State, 2 nc., 1807 Jones Street,	•	613	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				
Business or Residence Addr	ress (Number	and Street, City, State, 2	Zip Code)		
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first,	if individual)				
Business or Residence Addr	ess (Number	and Street, City, State, 2	Zip Code)		

						0.00.4.100		0 555				- -		
					B. INF	ORMATI	ON ABO	UT OFFE	RING				Yes No	
1. Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?														
7. 1145	133401	50.0, 01 0					lumn 2, if							•
2 Whe	at is the m	inimum ir										,	N/A	
2. ***	at 15 the m	************	ivesument		se accepte		,	•••	•••••				Yes No	3
3. Doe	s the offer	ring permi	t joint ow	nership of	fa single u	nit?					••••			j
4. Ente	r the info	rmation re	equested for	or each pe	erson who	has been	or will be	paid or gi	ven, direct	tly or indi	rectly, any	commis-		
sion	or simila	r remuner	ation for s	olicitation	of purcha	isers in co	nnection v	vith sales	of securiti	es in the c	offering. I	f a person		
							ealer regis							
or de	ne name ealer vou	or the bro may set f	orth the in	aler. II II formation	nore man. I for that h	nve (3) p roker or d	ersons to lealer only	NOT AF	PPLICAB	neu perso LE	iis oi suci	I a DIUKCI		
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Busines	s or Resid	lence Add	ress (Num	ber and S	treet, City	, State, Zi	p Code)							
			•											
Name o	f Associat	ed Broker	or Dealer					·						
States in	Which P	erson List	ted Has Sc	licited or	Intends to	Solicit P	urchasers							
(Che	eck "All S	states" or o	check indi	vidual Sta	ites)						********		All State	:S
[AL]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]		
[IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]		
[MT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[VY]	[NC]	[ND]	[OH]	[OK] [WI]	[OR] [WY]	[PA] [PR]		
[R1]	[SC]	[SD]	[TN] if individ	[TX]	[UT]	[VT] N/A	[VA]	[WA]	[WV]	[44 1]	[44 1]	[i K]		
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			<u> </u>	1 10		Ct + 2'	0.1)		N// A					
Busines	s or Resid	lence Add	ress (Num	ber and S	treet, City	, State, Zi	p Code)		N/A					
Name o	f Associat	ed Broker	or Dealer			N/A								
States in	ı Which P	erson List	ted Has So	licited or	Intends to	Solicit P	urchasers							
(Che	eck "All S	tates" or o	heck indi	vidual Sta	ites)		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,						All State	:s
[AL]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]		
[IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]		
[MT]	[NE]	[NV]	[NH]	[N]]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]		
[RI]	[SC]	[SD]	[TN]	[TX]	(UT)	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]		
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(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

1.	Enter the aggregate offering price of securities included in this offering and the total amou already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchang offering, check this box \(\square\) and indicate in the columns below the amounts of the securitie offered for exchange and already exchanged.	,e	
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$	\$
	Equity	\$4,999,998.30	\$ <u>1,999,998.00</u>
	☐ Common ☒ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$	\$
	Other (Specify)	\$	\$
	Total	\$ <u>4,999,998.30</u>	\$ <u>1,999,998,00</u>
	Answer also in Appendix, Column 3, if filing under ULOE		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregated dollar amount of their purchases of the total lines. Enter "0" if answer is "none" or "zero."	er te	
	Investors	Number of Purchases	Aggregate Dollar Amount
	Accredited Investors	3	\$1,999,998.00
	Non-accredited Investors		\$
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for a securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12 months prior to the first sale of securities in this offering. Classify securities by type liste in Part C - Question 1.	2)	
	Time of Official	Type of Security	Dollar Amount Sold
	Type of Offering	Security	\$
	Rule 505		\$ \$
	Rule 504N/A		\$ \$
	Total		\$
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of in this offering. Excluded amounts relating solely to organization expenses of the information may be given as subject to future contingencies. If the amount of an expense, furnish an estimate and check the box to the left of the estimate.	of the securities e issuer. The	¥ <u></u>
	Transfer Agent's Fees	***************************************	□ s
	Printing and Engraving Costs		□ \$
	Legal Fees		⊠ \$ <u>50,000</u>
	Accounting Fees		s
	Engineering Fees		□ \$
	Sales Commissions (specify finders' fees separately)		☐ \$
	Other Expenses (identify) Finders' fees		s

∑\$50,000

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

	o. Enter the difference between the aggregate of Question 1 and total expenses furnished in redifference is the "adjusted gross proceeds to the in	sponse to Part C - Question 4.a. This			\$ <u>4,949,998.30</u>
	ndicate below the amount of the adjusted gross be used for each of the purposes shown. If the furnish an estimate and check the box to the left isted must equal the adjusted gross proceeds to Question 4.b above.	e amount for any purpose is not known, of the estimate. The total of the payments			
			Payments to Officers, Directors, & Affiliates		Payments To Others
	Salaries and fees			. 🗆	\$
	Purchase of real estate		□ \$. 🗆	\$
	Purchase, rental or leasing and installation o	f machinery and equipment	□ \$		\$
	Construction or leasing of plant buildings an	d facilities	□ s	_ 🗆	\$
	Acquisition of other businesses (including the this offering that may be used in exchange for issuer pursuant to a merger)	ne value of securities involved in or the assets or securities of another	□ s	. 🗆	\$
	Repayment of indebtedness		□ s		\$
	Working capital		□ s		\$4,949,998.30
	Other (specify)		\$. 🗆	\$
			- □ \$. 🗆	\$
					\$ <u>4,949,998.30</u>
	Total Payments Listed (column totals added)			5 <u>4,949</u>	998.30
). FEDERAL SIGNATURE	1		
			· · · · · · · · · · · · · · · · · · ·		-1- 606 41-
followi	her has duly caused this notice to be signed by ag signature constitutes an undertaking by the issuff, the information furnished by the issuer to any	suer to furnish to the U.S. Securities and Exchar	ige Commission, u	nger r pon w	ritten request
Issuer (Print or Type)	Signature	Date:		
,	reek Technologies, Inc.		May , 2007	•	
Name o	f Signer (Print or Type)	Title of Signer (Print or Type)			
Brian C	Clark	Chief Financial Officer			
		ATTENTION			

Intentional misstatements or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001.)

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

	 Enter the difference between the aggregate of Question 1 and total expenses furnished in res difference is the "adjusted gross proceeds to the iss 	ponse to Part C - Question 4.a. This			\$ <u>4,949,998.30</u>
5.	Indicate below the amount of the adjusted gross probe used for each of the purposes shown. If the furnish an estimate and check the box to the lopayments listed must equal the adjusted gross procto Part C - Question 4.b above.	amount for any purpose is not known, eft of the estimate. The total of the			
			Paymen		
			Office Director	rs, &	Payments To
	Salaries and fees		Affilia □ \$		Others \$
	Purchase of real estate		□ \$ □ \$		
	Purchase, rental or leasing and installation of i		□ \$		
	Construction or leasing of plant buildings and	• • •	□ \$		· · · · · · · · · · · · · · · · · · ·
	Acquisition of other businesses (including the this offering that may be used in exchange for	value of securities involved in the assets or securities of			
	another issuer pursuant to a merger)		□ \$ □ \$		\$
	Working capital		□ 3 <u></u>		54 040 000 20
	Other (specify)		 _	¤	
			-		
				\$	
	Column Totals		□ \$ <u>0</u>	🛛	\$ <u>4,949,998.30</u>
	Total Payments Listed (column totals added)		Σ	\$ <u>4,949</u>	998.30
	D.	FEDERAL SIGNATURE			
follow	suer has duly caused this notice to be signed by the ing signature constitutes an undertaking by the issue taff, the information furnished by the issuer to any n	r to furnish to the U.S. Securities and Exchan	ge Commissio	n, upon wi	Rule 505, the ritten request
Issuer	(Print or Type)	Signature	Date:		
Duck (Creek Technologies, Inc.	John Mun	May 9, 2	.007	
Name	of Signer (Print or Type)	Title of Signer (Print or Type)			
Brian	Clark	Chief Financial Officer			

Intentional misstatements or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001.)

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

	E. STATE SIGNATURE
1.	Is any party described in 17 CFR 230.252(c), (d), (e) or (f) presently subject to any of the disqualification provisions Yes No of such rule?NOT APPLICABLE
	See Appendix, Column 5, for state response.
2.	The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed, a notice on Form D (17 CFR 239.500) at such times as required by state law. NOT APPLICABLE
3.	The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees. NOT APPLICABLE
4.	The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied. NOT APPLICABLE
	e issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the dersigned duly authorized person
Iss	uer (Print or Type) Signature Date:
Du	ick Creek Technologies, Inc. May 9, 2007
No	me of Signer (Print or Type) Title of Signer (Print or Type)

Chief Financial Officer

Instruction:

Brian Clark

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear types or printed signatures.

END